

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Application No. : 10/038,439
Applicants : BUSH et al.
Filing Date : JANUARY 7, 2002
Title : METHOD TO ROUGH SIZE COATED
COMPONENTS FOR EASY ASSEMBLY

Art Unit : 1762
Examiner : BAREFORD, K.A.

Docket No. : 9997A

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OCT 13 2004

Mail Stop Non-Fee Amendment
Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

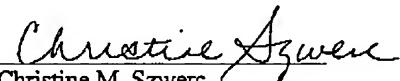
CERTIFICATE OF FACSIMILE TRANSMISSION

In accordance with 37 C.F.R. § 1.8, the undersigned hereby certifies that the following correspondence:

Response to Failure to Acceptably Respond to Notice of Non-Compliant Amendment
Fax Transmittal showing successful transmission of Amendment sent March 1, 2004
Amendment Under 37 CFR 1.111

is being facsimile transmitted to the USPTO at (703) 872-9310 on
this date of October 13, 2004.

Respectfully submitted,


Christine M. Szwerc
Reg. No. 43,177

Carrier Corporation
One Carrier Place
Farmington, CT 06034

Number of Pages Being Transmitted (including this page): 9

PATENT

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Response to Failure to Acceptably Respond to
Notice of Non-Compliant Amendment

Sir:

Applicants hereby respond to the Communication mailed October 7, 2004 indicating non-compliance under 37 C.F.R. § 1.121. Applicants hereby conform the amendment to the requirements of 37 C.F.R. § 1.121 by amending the status identifier to read "Currently Amended".

The undersigned promptly responded to the prior notice mailed February 2, 2004 with a faxed response on March 1, 2004 (with successful confirmation, a copy of which is enclosed). The US PTO failed to receive said fax notwithstanding the undersigned's successful transmission and requested a courtesy copy on or about August 26, 2004. The US PTO then responded with a second notice mailed October 7, 2004, to which Applicants hereby respond. Given the foregoing, the undersigned requested that the PTO waives the abandonment and extensions of time is required, as the delay in response from the first notice was at the error to the US PTO. The US PTO did not give us a second notice until AFTER the response period from the prior notice had ended, namely August 2, 2004.

Should the PTO believe that a telephone interview may facilitate resolution of any matters in this case, Applicants' attorney may be contacted by telephone at the number provided below.

Respectfully submitted,
WILLIAM BUSH et al.

By Christine Szwerc
Christine M. Szwerc
Reg. No. 43,177
Attorney for Applicant
Tel. No. (860) 674-3457
Fax No. (860) 998-4342

Date: October 13, 2004
Carrier Corporation
One Carrier Place
Farmington, CT 06034

Confirmation Report - Memory Send

Date & Time: Mar-01-2004 02:55pm
Tel line : 8606743246
Machine ID : CARRIER WHQ LEGAL AND BUSINESS DEVELOP

Job number : 279
Date & Time : Mar-01 02:53pm
To : 917038729310
Number of pages : 007
Start time : Mar-01 02:53pm
End time : Mar-01 02:55pm
Pages sent : 007
Status : OK
Job number : 279

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*** SEND SUCCESSFUL ***

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Applicant : BUSBY et al.
Filing Date : JANUARY 7, 2002
Title : METHOD TO ROUGH SIZE COATED
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Art Unit : 1762
Examiner : BAREFORD, K.A.
Docket No. : 9997A
Mail Stop Non-Fee Amendment
Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF FACSIMILE TRANSMISSION

In accordance with 37 C.F.R. § 1.8, the undersigned hereby certifies that the following correspondence:

Amendment Under 37 CFR 1.111

is being facsimile transmitted to the USPTO at (703) 372-9310 on
this date of March 1, 2004.

Respectfully submitted,

Christine M. Szwarc
Christine M. Szwarc
Reg. No. 43,177

Carrier Corporation
Carrier Parkway
P.O. Box 4800
Syracuse, NY 13221

Number of Pages Being Transmitted (including this page): 7

PATENT

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PATENT AND TRADEMARK OFFICE

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OCT 13 2004

AMENDMENT UNDER 37 C.F.R. § 1.111
IN CONFORMANCE WITH 37 C.F.R. § 1.121

Sir:

Applicants hereby respond to the Communication mailed February 2, 2004 indicating non-compliance under 37 C.F.R. § 1.121 of the amendment dated December 17, 2003 in the above-referenced application. Applicants hereby respond in a timely manner before the due date of March 2, 2004. Applicants hereby conform the amendment to the requirements of 37 C.F.R. § 1.121. Claims 1-16 remain pending in the present application. In view of the remarks herein, Applicants respectfully request favorable reconsideration and allowance of the subject application.

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Arguments begin on page 5 of this paper.